# Excitation-autoionization cross-sections and rate coefficients for Ge-like ions

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**Abstract.** Results of cross-section and rate coefficient calculations for electron impact direct and indirect ionization of ions belonging to the GeI isoelectronic sequence (ground  $3d^{10}4s^24p^2$ ) are presented. The cross-sections are given at near threshold energies for the five ions  $Kr^{4+}$ ,  $Mo^{10+}$ ,  $Xe^{22+}$ ,  $Pr^{27+}$  and  $Dy^{34+}$ . The rate coefficients are computed for all the ions from  $Kr^{4+}$  to  $U^{60+}$  in the GeI sequence at seven electron temperatures ( $kT_e = 0.1E_I, 0.3E_I, 0.5E_I, 0.7E_I, E_I, 2E_I$  and  $10E_I$ , where  $E_I$  is the first ionization energy). The calculations include the contribution of direct ionization (DI) calculated with the Lotz formula approximation and the contributions of excitation-autoionization (EA) calculated in the framework of the distorted wave (DW) approximation for the 4s - nl, 3d - nl and 3p - nl resonant inner-shell excitations. The ionization enhancement due to the EA channels is shown as a function of Z along the GeI isoelectronic sequence.

**PACS.** 34.80.Kw Electron-ion scattering; excitation and ionization – 34.80.Dp Atomic excitation and ionization by electron impact

## 1 Introduction

Electron impact ionization is one of the important processes occurring in high temperature astrophysical and laboratory plasmas. Hot plasmas of highly ionized heavy elements are produced in magnetic and inertial confinement fusion and X-ray lasers experiments. Modeling the physics of these systems requires accurate calculations of cross-sections and rate coefficients for electron impact ionization in order to determine the ionization equilibrium and diagnose electron temperature and density. For ions with outer subshell having a high electron occupancy number, direct ionization (DI) as calculated from the parametrized Lotz formula is believed to predict quite well the total electron impact ionization cross-sections or rate coefficients. However, for ions with few outer electrons and an inner shell with a large occupancy number, indirect processes involving inner-shell excitation can become very important. Theoretical calculations have shown the importance of excitation-autoionization (EA) processes, in particular for ions of the NaI sequence (see for example [1]). These calculations reproduced very well experimental results when available. The importance of EA processes in other sequences was pointed out. In particular, it was shown by a study of the line emission in Ga-like rare earth ions emitted from Tokamak plasmas [2] that such processes could be very significant for ions of the

GaI isoelectronic sequence. More generally, further calculations showed the ionization enhancement due to EAprocesses through 3d - 4l inner-shell excitation for ions in isoelectronic sequences with  $3d^{10}4s^n4p^m$  ground configurations [3]. In the present paper one carries out extensive calculations of electron impact direct ionization and EA cross-sections and rate coefficients for ions along the GeI isoelectronic sequence (ground  $3d^{10}4s^24p^2$ ). This work comes as a continuation of the previous works performed for the CuI (ground  $3d^{10}4s$ ) [4], ZnI (ground  $3d^{10}4s^2$ ) [5] and GaI (ground  $3d^{10}4s^24p$ ) [6] isoelectronic sequences. In these previous works, it was shown that resonant 3l - 4l'electron impact excitations give a very significant EAcontribution to the total ionization. For the GeI isoelectronic sequence, however, since the ground configuration has two electrons in the outer open 4p shell, the complexity of the calculations involved increases significantly. For five ions of this isoelectronic sequence  $(Kr^{4+}, Mo^{10+},$  $Xe^{22+}$ ,  $Pr^{27+}$  and  $Dy^{34+}$ ) the electron impact ionization cross-sections are presented as a function of the electron kinetic energy. The EA rate coefficients were computed for different electron temperatures for all the ions of the sequence. The *EA* calculations include 3d - nl (n = 4 to 8; l = 0 to 4) and 3p - nl (n = 4 and 5; l = 0 to 4) inner-shell electron impact excitations. For the lower members of the isoelectronic sequence  $(Kr^{4+} to Mo^{10+})$  the contributions of the 4s - nl (n = 5 to 8; l = 0 to 4) excitations were also included.

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# 2 Theoretical methods

The ground configuration  $4p^2$  of the Ge-like ions include five levels. The electron impact EA cross-sections and rate coefficients from each of these five levels have been calculated. But, since in most cases the calculation results show no significant differences for the cross-sections or rate coefficients for these levels, only the results for EA crosssection and rate coefficient from the true ground level g $(4s^24p_{1/2}^2(J=0))$  are reported in this work. Moreover one assumes that the only important collisional processes in the plasma are electron impact excitation and direct ionization from g. Other electron impact processes are neglected. In this approximation, the total cross-section for EA from q to any level k of the Ga-like ion through inner-shell excitation of the Ge-like ion to any intermediate autoionizing level j within a given configuration (or configuration complex) C is given by [4]:

$$\sigma_C^{EA} = \sum_{j \in C} \sigma_{gj}(E) \left[ \frac{\sum_k A_{jk}^a + \sum_i A_{ji} B_i^a}{\sum_k A_{jk}^a + \sum_i A_{ji}} \right] = \sum_{j \in C} \sigma_{gj}(E) B_j^a \quad (1)$$

where  $\sigma_{gj}(E)$  is the cross-section for electron-impact excitation from level g to the inner-shell excited level j as a function of the incident electron energy E.  $A^a_{jk}$  is the rate coefficient for autoionization from level j to a level k of the Ga-like ion.  $A_{ji}$  is the Einstein coefficient for spontaneous emission from level j to any lower-lying Ge-like level i.  $B^a_j$ is the multiple or effective branching ratio for autoionization from level j, defined by the bracket term. This term contains in turn the effective branching ratio  $B^a_i$  for further (secondary) autoionization from level i, defined by a similar recursive expression. This model allows one to take into account all the possible secondary autoionizations following cascading, until the ion reaches via radiative decay a level m below the first ionization limit ( $B^a_m = 0$ ).

The present calculations have been performed using the *HULLAC* computer package [7]. This computer code gives full intermediate coupling energy level calculations including configuration interactions and Einstein radiative decay rate coefficients calculated in the framework of the central field approximation. The autoionization rate coefficients and the electron-impact collision strengths are calculated in the framework of the DW approximation. The cross-section  $\sigma_{gj}(E)$  is related to the dimensionless symmetric collision strength  $\Omega(i \to j)$  by:

$$\sigma_{ij}(E) = \frac{\pi a_0^2}{k_i^2 g_i} \Omega(i \to j) \tag{2}$$

where  $a_0$  is the Bohr radius,  $k_i^2 = E_i(Ry)[1 + \frac{\alpha^2}{4}E_i(Ry)]$ and  $\alpha = e^2/\hbar c$ . For plasma modeling studies, rate coefficients rather than cross-sections are used. The rate coefficient  $S_C^{EA}$  for excitation-autoionization from the level g of the ground configuration  $3d^{10}4s^24p^2$  of a Ge-like ion to a level k of the Ga-like ion through inner-shell excitation to any intermediate autoionizing level j within the Ge-like configuration C as a function of the electron temperature  $T_e$  is given by:

$$S_C^{EA}(T_e) = \int_0^\infty \sigma_C^{EA} v f(v) dv = \sum_{j \in C} B_j^a Q_{gj}(T_e) \qquad (3)$$

where v is the electron velocity and f(v) is the electron velocity distribution (assumed Maxwellian).  $Q_{gj}$  is the electron-impact excitation rate coefficient from the ground level g to level j of the intermediate configuration. The total EA rate coefficients through the various intermediate autoionizing configurations

$$S^{EA} = \sum_{C} S^{EA}_{C} \tag{4}$$

were calculated for all the ions with  $34 \leq Z \leq 92$  of the GeI isoelectronic sequence. The calculations were performed in the electron temperature range  $0.1E_I \leq kT_e \leq$  $10E_I$ , where  $E_I$  is the first ionization limit for each ion.

The EA contributions have been compared to the direct ionization cross-sections and rate coefficients calculated with the Lotz formula [8]. The cross-section  $\sigma^{DI}(E)$ and the rate coefficient  $S^{DI}(T_e)$  for direct electron impact ionization (including inner-shell direct ionization) of an ion in the ground state by electron impact are given according to the Lotz formula by:

$$\sigma^{DI}(E) = 4.5 \times 10^{-14} \sum_{s} \zeta_s \frac{\ln(E/E_s)}{E \times E_s} \tag{5}$$

$$S^{DI}(T_e) = 3.0 \times 10^{-6} \sum_{s} \frac{\zeta_s}{kT_e^{3/2}} \left[ \frac{E_1(E_s/kT_e)}{(E_s/kT_e)} \right]$$
(6)

where  $\sigma^{DI}(E)$  is given in cm<sup>2</sup> and  $S^{DI}$  in cm<sup>3</sup> s<sup>-1</sup>. E is the incident electron kinetic energy and  $kT_e$  is the electron temperature both in eV,  $\zeta_s$  is the electron occupancy number of the *s* subshell,  $E_s$  is the binding energy in eV of the electrons in this subshell and  $E_1$  is the exponential integral function. Table 1 gives the binding energies in eV for  $4p(=E_I)$ , 4s, 3d and 3p electrons of the Ge-like ions in their ground state, calculated using the HULLAC code.

## 3 Contributions of EA processes

#### 3.1 3d-nl inner-shell excitations

The first step in this work was to calculate the contributions of the 3d - nl electron impact inner-shell excitations to the EA processes. The calculations for these processes include excitations from the ground configuration  $3d^{10}4s^24p^2$  of the Ge-like ion to the  $3d^94s^24p^2nl$   $(4 \le n \le 8; 0 \le l \le 4)$  inner-shell autoionizing configurations. The  $3d^94s4p^4$  configuration was also introduced for taking into account  $sd - p^2$  configuration interaction. For the radiative transitions decays, all the relevant low-lying configurations are taken into account: the radiative transitions to the ground configuration ( $nl \rightarrow 3d$  transition), radiative

Ion	4p	4s	3d	3p	Ion	4p	4s	3d	3p
$Kr^{4+}$	62.1	73.7	149.1	282.2	$\mathrm{Tb}^{33+}$	1392	1466	2504	2862
$\mathrm{Rb}^{5+}$	82.8	96.1	188.2	318.0	$Dy^{34+}$	1467	1543	2629	3000
$\mathrm{Sr}^{6+}$	103.8	118.9	229.4	373.5	$\mathrm{Ho}^{35+}$	1544	1623	2759	3140
$Y^{7+}$	126.8	143.6	273.1	419.9	$\mathrm{Er}^{36+}$	1623	1704	2891	3282
$\mathrm{Zr}^{8+}$	152.1	170.8	320.8	476.9	$\mathrm{Tm}^{37+}$	1705	1789	3028	3428
$\mathrm{Nb}^{9+}$	179.1	199.7	371.5	531.9	$Yb^{38+}$	1787	1873	3163	3579
$\mathrm{Mo}^{10+}$	207.5	230.0	424.7	596.9	$Lu^{39+}$	1874	1962	3307	3730
$\mathrm{Tc}^{11+}$	238.8	263.2	481.8	657.4	$\mathrm{Hf}^{40+}$	1963	2054	3450	3885
$\mathrm{Ru}^{12+}$	271.3	297.7	541.9	724.4	$\mathrm{Ta}^{41+}$	2052	2146	3599	4042
$\mathrm{Rh}^{13+}$	305.8	334.2	605.0	795.3	$W^{42+}$	2145	2241	3749	4208
$Pd^{14+}$	341.9	372.3	670.9	871.1	$\mathrm{Re}^{43+}$	2239	2338	3904	4374
$Ag^{15+}$	380.1	412.5	740.3	945.2	$Os^{44+}$	2336	2437	4059	4538
$\mathrm{Cd}^{16+}$	420.2	454.7	812.0	1023	$\mathrm{Ir}^{45+}$	2434	2539	4220	4713
$\mathrm{In}^{17+}$	461.8	498.5	887.2	1108	$Pt^{46+}$	2534	2642	4382	4883
$\mathrm{Sn}^{18+}$	505.6	544.4	965.3	1199	$\mathrm{Au}^{47+}$	2638	2748	4547	5067
$\mathrm{Sb}^{19+}$	551.2	592.1	1047	1286	$\mathrm{Hg}^{48+}$	2745	2858	4716	5249
$\mathrm{Te}^{20+}$	598.9	642.0	1131	1379	$\mathrm{Tl}^{49+}$	2854	2969	4889	5433
$\mathbf{I}^{21+}$	648.2	693.6	1219	1474	$\mathrm{Pb}^{50+}$	2964	3083	5063	5623
$\mathrm{Xe}^{22+}$	699.4	747.0	1309	1572	$\operatorname{Bi}^{51+}$	3078	3199	5241	5813
$\mathrm{Cs}^{23+}$	752.5	802.4	1401	1675	$Po^{52+}$	3193	3318	5421	6010
$\operatorname{Ba}^{24+}$	807.8	859.9	1499	1776	$At^{53+}$	3311	3438	5605	6207
$La^{25+}$	864.8	919.2	1598	1885	$\operatorname{Rn}^{54+}$	3432	3562	5792	6413
$\mathrm{Ce}^{26+}$	923.8	980.5	1701	1994	$\mathrm{Fr}^{55+}$	3554	3688	5978	6612
$Pr^{27+}$	984.7	1044	1805	2111	$\operatorname{Ra}^{56+}$	3681	3818	6175	6827
$\mathrm{Nd}^{28+}$	1048	1109	1915	2227	$Ac^{57+}$	3810	3950	6371	7038
$\mathrm{Pm}^{29+}$	1112	1176	2026	2348	$\mathrm{Th}^{58+}$	3941	4085	6570	7253
$\mathrm{Sm}^{30+}$	1180	1246	2139	2475	$\mathrm{Pa}^{59+}$	4076	4223	6771	7473
$\mathrm{Eu}^{31+}$	1247	1316	2258	2598	$U^{60+}$	4213	4363	6975	8003
$Gd^{32+}$	1319	1390	2379	2729					

**Table 1.** Binding energies (in eV) for  $4p(=E_I)$ , 4s, 3d and 3p electrons for Ge-like ions.

transitions to  $3d^{10}4s^24pnl$   $(4 \le n \le 8; 1 \le l \le 4)$  through  $4p \to 3d$  transition and also transitions among the levels of the considered inner-shell excited configurations  $(nl \to nl' \text{ transition})$ . The GaI-like configurations included in the second step of the EA processes are  $3d^{10}4s^2nl$   $(n = 4 \text{ and } 5; 0 \le l \le 4), 3d^{10}4s4p4l$   $(1 \le l \le 3), 3d^{10}4s4d^2$  and  $3d^{10}4p^3$ . The model for the EA processes through the 3d-nl inner-shell excitation includes a total of 5082 levels.

### 3.2 3p-nl inner-shell excitations

For the EA contributions via 3p - nl electron impact excitations, the final model developed includes the ground configuration  $3p^63d^{10}4s^24p^2$  of the Ge-like ion from which an electron can be excited to the  $3p^53d^{10}4s^24p^2nl$   $(n = 4, 1 \le l \le 3; n = 5, 1 \le l \le 4)$  inner-shell autoionizing configurations. Radiative decay is allowed among the levels of the above configurations and also to  $3p^63d^{10}4s^4p^2nl$   $(n = 4, 1 \le l \le 3; n = 5, 1 \le l \le 4)$ , through  $4s \to 3p$  decay and to  $3p^63d^94s^24p^2nl$   $(n = 4, 1 \le l \le 3; n = 5, 1 \le l \le 4)$ , through  $4s \to 3p$  decay and to  $3p^63d^94s^24p^2nl$   $(n = 4, 1 \le l \le 3; n = 5, 1 \le l \le 4)$  through  $3p \to 3d$  decay. The GaI-like configurations included are  $3d^{10}4s^24l$   $(1 \le l \le 3)$  and  $3d^{10}4s4p^2$ . The EA model for the 3p - nl inner-shell excitation includes 3057 levels.

## 3.3 4s-nl inner-shell excitations

For the lighter ions of the isoelectronic sequence, it was found that 4s - nl inner-shell excitations could give substantial contributions to the EA cross-sections. Thus, for the Kr<sup>4+</sup> to Mo<sup>10+</sup> ions a model for the 4s - nl excitation was created. This model includes the ground configuration  $3p^63d^{10}4s^24p^2$  of the Ge-like ion from which an electron can be excited to the  $3p^63d^{10}4s^4p^2nl$  ( $4 \le n \le 8$ ;  $0 \le l \le 4$ ) inner-shell autoionizing configurations. Radiative decay is allowed among the levels of these configurations and also to  $3p^63d^{10}4s^24pnl$  ( $4 \le n \le 8$ ;  $1 \le l \le 4$ ). The GaI-like configurations included are  $3d^{10}4s^2nl$  ( $4 \le n \le 6$ ;  $1 \le l \le 4$ ) and  $3d^{10}4s^2p^2$ . The EA model for the 4s - nl electron impact excitation includes 1323 levels.

#### 4 Results

#### 4.1 EA and direct ionization cross-sections

Table 2 displays the results of the present calculations for the electron impact DI and EA cross-section contributions for Kr<sup>4+</sup>, Mo<sup>10+</sup>, Xe<sup>22+</sup>, Pr<sup>27+</sup> and Dy<sup>34+</sup> near the threshold energy.

**Table 2.** Computed electron impact ionization cross-sections near the threshold energy for Kr<sup>4+</sup> ( $E_I = 62.1$  eV), Mo<sup>10+</sup> ( $E_I = 207.5$  eV), Xe<sup>22+</sup> ( $E_I = 699.4$  eV), Pr<sup>27+</sup> ( $E_I = 984.7$  eV) and Dy<sup>34+</sup> ( $E_I = 1467$  eV). The first column gives the incident electron impact energy in eV. The next four columns give the direct ionization and the partial *EA* contribution of 4s - nl, 3d - np and 3p - nl inner-shell excitations. All cross-sections are in cm<sup>2</sup> units.

(a) $Kr^{4+}$									
E(eV)	Direct	4s - nl	3d - nl	3p - nl	E(eV)	Direct	4s - nl	3d - nl	3p - nl
65	1.70[-18]	2.03[-18]	0.0	0.0	105	1.02[-17]	6.21[-18]	2.01[-18]	0.0
70	2.61[-18]	5.31[-18]	0.0	0.0	110	1.08[-17]	5.92[-18]	2.43[-18]	0.0
75	3.52[-18]	7.28[-18]	0.0	0.0	115	1.12[-17]	5.66[-18]	3.29[-18]	0.0
80	4.72[-18]	7.62[-18]	0.0	0.0	120	1.14[-17]	5.43[-18]	4.48[-18]	0.0
85	5.92[-18]	7.76[-18]	1.84[-19]	0.0	125	1.16[-17]	5.22[-18]	5.17[-18]	0.0
90	7.12[-18]	7.30[-18]	2.11[-18]	0.0	130	1.17[-17]	5.04[-18]	5.34[-18]	0.0
95	8.32[-18]	6.89[-18]	2.17[-18]	0.0	135	1.18[-17]	4.87[-18]	6.52[-18]	0.0
100	9.52[-17]	6.53[-18]	2.06[-18]	0.0	140	1.18[-17]	4.71[-18]	7.01[-18]	0.0
(b) Mo <sup>10+</sup>									
E(eV)	Direct	4s - nl	3d - nl	3p - nl	E(eV)	Direct	4s - nl	3d - nl	3p - nl
210	2.47[-20]	1.90[-20]	0.0	0.0	290	6.15[-19]	7.02[-20]	1.40[-18]	0.0
220	1.15[-19]	7.19[-20]	2.10[-19]	0.0	300	6.82[-19]	6.74[-20]	1.35[-18]	0.0
230	1.94[-19]	9.53[-20]	2.50[-19]	0.0	310	7.42[-19]	6.48[-20]	1.55[-18]	0.0
240	2.63[-19]	8.99[-20]	2.40[-19]	0.0	320	7.96[-19]	6.25[-20]	2.29[-18]	0.0
250	3.23[-19]	8.46[-20]	2.45[-19]	0.0	330	8.44[-19]	6.04[-20]	2.25[-18]	0.0
260	3.76[-19]	8.07[-20]	3.78[-19]	0.0	340	8.88[-19]	5.86[-20]	2.32[-18]	0.0
270	4.55[-19]	7.67[-20]	6.82[-19]	0.0	350	9.26[-19]	5.68[-20]	2.46[-18]	0.0
280	5.40[-19]	7.34[-20]	8.15[-19]	0.0	360	9.61[-19]	5.51[-20]	2.83[-18]	0.0
(c) $Xe^{22+}$									
E(eV)	Direct	4s - nl	3d - nl	3p - nl	E(eV)	Direct	4s - nl	3d - nl	3p - nl
700	1.58[-22]	0.0	9.77[-22]	0.0	860	5.07[-20]	0.0	4.87[-19]	0.0
720	5.19[-21]	0.0	3.30[-20]	0.0	880	5.60[-20]	0.0	4.77[-19]	5.80[-21]
740	9.81[-21]	0.0	5.84[-20]	0.0	900	6.10[-20]	0.0	4.68[-19]	5.99[-20]
760	1.68[-20]	0.0	2.04[-19]	0.0	920	6.56[-20]	0.0	4.62[-19]	5.89[-20]
780	2.47[-20]	0.0	1.99[-19]	0.0	940	6.99[-20]	0.0	4.55[-19]	5.77[-20]
800	3.19[-20]	0.0	2.01[-19]	0.0	960	7.39[-20]	0.0	4.63[-19]	6.07[-20]
820	3.87[-20]	0.0	2.97[-19]	0.0	980	7.77[-20]	0.0	4.91[-19]	6.82[-20]
840	4.49[-20]	0.0	4.96[-19]	0.0	1000	8.12[-20]	0.0	5.15[-19]	6.82[-20]
(d) $Pr^{27+}$									
E(eV)	Direct	4s - nl	3d - nl	3p - nl	E(eV)	Direct	4s - nl	3d - nl	3p - nl
1000	1.41[-21]	0.0	7.79[-20]	0.0	1320	3.56[-20]	0.0	2.56[-19]	4.03[-20]
1040	4.80[-21]	0.0	9.22[-20]	0.0	1360	3.85[-20]	0.0	2.79[-19]	4.31[-20]
1080	1.06[-20]	0.0	1.41[-19]	0.0	1400	4.11[-20]	0.0	3.02[-19]	6.36[-20]
1120	1.59[-20]	0.0	2.73[-19]	0.0	1440	4.34[-20]	0.0	2.96[-19]	6.30[-20]
1160	2.08[-20]	0.0	2.66[-19]	3.71[-20]	1480	4.55[-20]	0.0	2.96[-19]	7.28[-20]
1200	2.51[-20]	0.0	2.58[-19]	3.61[-20]	1520	4.74[-20]	0.0	3.11[-19]	7.14[-20]
1240	2.90[-20]	0.0	2.52[-19]	3.52[-20]	1560	4.92[-20]	0.0	3.08[-19]	7.57[-20]
1280	3.25[-20]	0.0	2.47[-19]	4.07[-20]	1600	5.08[-20]	0.0	3.15[-19]	7.55[-20]
(e) $Dy^{34+}$									
E(eV)	Direct	4s - nl	3d - nl	3p - nl	E(eV)	Direct	4s - nl	3d-nl	3p-nl
1500	8.75[-22]	0.0	2.18[-20]	0.0	1850	1.34[-20]	0.0	5.63[-20]	2.08[-20]
1550	2.34[-21]	0.0	6.26[-20]	0.0	1900	1.48[-20]	0.0	6.66[-20]	3.46[-20]
1600	4.68[-21]	0.0	6.11[-20]	1.99[-20]	1950	1.59[-20]	0.0	7.25[-20]	3.41[-20]
1650	6.69[-21]	0.0	5.96[-20]	1.99[-20]	2000	1.71[-20]	0.0	8.18[-20]	3.34[-20]
1700	8.63[-21]	0.0	5.81[-20]	1.95[-20]	2050	1.81[-20]	0.0	8.04[-20]	3.91[-20]
1750	1.04[-20]	0.0	5.68[-20]	2.13[-20]	2100	1.90[-20]	0.0	7.94[-20]	3.85[-20]
1800	1.19[-20]	0.0	5.60[-20]	2.10[-20]	2150	1.99[-20]	0.0	8.50[-20]	3.79[-20]



Fig. 2. Calculated direct-ionization cross-sections (dotted line), total EA cross-sections (dashed line), and total EA plus direct ionization cross-sections (solid line) as a function of the incident electron energy for the Mo<sup>10+</sup>, Xe<sup>22+</sup>, Pr<sup>27+</sup> and Dy<sup>34+</sup> ions.

The calculated EA cross-sections for  $Kr^{4+}$  together with the experimental results of Bannister et al. [9] are shown in Figure 1. The theoretical data for the direct ionization cross-section are from Gorczyca et al. [10]. At low electron impact energies  $62.1 \leq E \leq 85 \ {\rm eV}$  the main EA contribution is from 4s - nl inner-shell excitation. For these low energies, the experimental data of Bannister et al. [9] are quite well reproduced. The underestimation of the theoretical curve in the 75 eV  $\leq E \leq$  90 eV energy range is probably due to the limitation of our  $4s - nl \ EA$ calculations to n values below 9. The computed threshold for 3d - 4p excitation is 85.6 eV and 100.2 eV for 3d - 4d. These are the main electron impact EA channels at the intermediate energies 85 eV  $\leq E \leq 100$  eV. At higher energies, there is an overestimation of the total cross-section of about 20% with respect to the experimental results. A very similar trend was observed with the computed cross-sections of  $Kr^{7+}$  in the CuI sequence [4]

compared to the experimental results of Bannister et al. At our best knowledge, there are no published experimental data for the electron impact ionization cross-section for heavier ions of the GeI isoelectronic sequence. Thus for the higher Z elements of the sequence, no assessment of the computation accuracy could be made. Nevertheless, many previous works performed with the HULLACcode have shown that the accuracy of the computations increases as a function of the ion charge Z. The main reason of this trend is the central field approximation on which the calculation of the electron wavefunction is based. This approximation is also better for high Z since correlation effects become negligible and relativistic effects that are very important for higher Z are fully taken into account.

Figures 2a to 2d display the results of the direct ionization and total EA cross-section computations for  $Mo^{10+}$ ,  $Xe^{22+}$ ,  $Pr^{27+}$  and  $Dy^{34+}$ . These are ions of the same elements for which cross-sections have been presented in the previous works for the CuI and ZnI isoelectronic sequences [4,5].

For Mo<sup>10+</sup> (Tab. 2b and Fig. 2a) the  $4s - nl \ EA$  channels are less important than for Kr<sup>4+</sup>, since the innershell excited levels are autoionizing only for n > 7. The threshold for 3d - 4p excitation is 213.5 eV, slightly above the ionization limit at 207.5 eV. This channel is the main EA channel in the low electron impact energy range 213.5 eV  $\leq E \leq 257$  eV. The onset of the  $3d - 4d \ EA$ channel is at 257 eV and 3p - 4p at 381.5 eV.

The results for the EA cross-sections in Xe<sup>22+</sup> are given in Table 2c and Figure 2b. For Xe<sup>22+</sup> the contribution to EA of the 4s - nl excitation is negligible. The  $3d^94s^24p^3$  configuration in Xe<sup>22+</sup>, reached from the ground by 3d - 4p excitation spans the 612–665 eV energy range, below the ionization energy ( $E_I = 699.4 \text{ eV}$ ). Thus the 3d - 4d excitation turns to be the main EAchannel at low electron impact energies (E < 788 eV). At higher energies (800 < E < 870 eV) the 3d - 4f excitation is dominant, whereas the 3p - 4p excited  $3p^53d^{10}4s^24p^3$ configuration spans the 875-976 eV energy range.

For  $Pr^{27+}$  (Tab. 2d and Fig. 2c) the 3d-4d excitation gives still the dominant EA contribution in the range near ionization-threshold (929–1062 eV), and the 3d-4f excitation in the intermediate 1057–1166 eV energy range.

Finally, in Dy<sup>34+</sup> (Tab. 2e and Fig. 2d) both excitations: 3d-4d (1316-1511 eV) and 3d-4f (1474-1653 eV) give significant EA contributions near the ionization energy threshold ( $E_I = 1467$  eV).

## 4.2 EA and direct ionization rate coefficients

The partial excitation-autoionization rate coefficients for the various ions in the GeI isoelectronic sequence were computed by detailed level-by-level calculations for 4s-nl(n = 4 to 8), 3d - nl (n = 4 to 8) and 3p - nl(n = 4 and 5) inner-shell excitations in a wide temperature range  $0.1E_I \leq kT_e \leq 10E_I$ . Table 3 gives the final results for the total ionization rate coefficient  $S = S^{DI} + S^{EA}_{4s-nl} + S^{EA}_{3d-nl} + S^{EA}_{3p-nl}$  for all the Ge-like ions, from Kr<sup>4+</sup> to U<sup>60+</sup> at seven electron temperatures. The widely applied analytical Lotz formula has been used to compute the direct ionization rate  $S^{DI}$ .

Figures 3a and 3b show the ratios  $S_C^{EA}/S^{DI}$  for the 3d - nl (n = 4 to 8) and 3p - nl (n = 4 and 5) individual excitation channels respectively, calculated at  $kT_e = E_I$ as a function of the Z number of the ion. This allows to compare the *relative* importance of the contributions from the various inner-shell excitation channels to the total ionization rate. From Figure 3a, one can see that the behavior of the contributions of the 3d-4l channels are very different from the quite regular behavior of the contributions of the higher  $3d - nl \ (n \ge 5)$  channels. For ions having  $Z \leq 44$  there is a contribution of 3d - 4p excitations. But for ions with  $Z \ge 45$ , the 3d - 4p inner-shell excited levels lie below the first ionization limit, thus the 3d - 4p contribution falls abruptly. The same phenomenon occurs with the 3d-4d channel and with the dominant 3d-4f channel at Z = 63 and Z = 74, respectively. The contributions of



**Fig. 3.** Ratio of the excitation-autoionization rate coefficient  $S_C^{EA}$  to the direct ionization rate coefficient  $S^{DI}$  for (a) the 3d - nl and (b) the 3p - nl inner-shell excitations at electron temperature equal to the first ionization energy  $E_I$ , as a function of the atomic number Z, along the GeI isoelectronic sequence.

the 3p - 4l channels (Fig. 3b) show a similar pattern. For Z = 75 the contribution of the 3p - 4l excitations begins to decrease as some of the 3p - 4d inner-shell excited levels lie below the ionization limit. But most of the 3p - 4f inner-shell excited levels (as the 3p - 5l excited configurations) remain above the ionization limit for the rest of the isoelectronic sequence, contributing significantly to the total ionization rate coefficient.

Figure 4 displays the ionization enhancement factor due to all the EA processes  $R^{EA} = (S^{DI} + S^{EA}_{Total})/S^{DI}$ for three temperatures  $kT_e = 0.1E_I, 0.5E_I$  and  $E_I$ . The results of the present calculations show that the total EAcontribution is very significant. At  $kT_e = 0.1E_I$ ,  $R^{EA}$  reaches a maximum of about 16 for Nd<sup>28+</sup>(Z = 60). At  $kT_e = 0.5E_I$ ,  $R^{EA}$  shows still a maximum of 7 for this ion. This last value must be compared with a maximum of about 10 calculated for Z = 43 in the CuI isoelectronic sequence (Fig. 9 in Ref. [4]), and for Z = 55 in the ZnI isoelectronic sequence (Fig. 8 in Ref. [5]). As expected, the relative importance of the EA versus DI processes decreases as the number of electrons in the outer shell is larger. But on the other side, as predicted in reference [3], for the isoelectronic sequences with a larger number of outer electrons, the 3d - 4l inner-shell excited configurations remain autoionizing for larger Z elements. Thus, if one considers for example, the  $Sm^{33+}$  (Cu-like),  $\mathrm{Sm}^{32+}$  (Zn-like) and  $\mathrm{Sm}^{30+}$  (Ge-like) ions (Z = 62),

**Table 3.** Computed total DI plus EA ionization rate coefficients S at seven electron temperatures for all the Ge-like ions, from Kr<sup>4+</sup> to U<sup>60+</sup>. The electron temperature is given in terms of the first ionization energy  $E_I$  given in Table 1. The rate coefficients are given in cm<sup>3</sup> s<sup>-1</sup> units. X[-Y] means  $X \times 10^{-Y}$ .

Ion	$kT_e = 0.1E_I$	$0.3E_I$	$0.5E_I$	$0.7E_I$	$E_I$	$2E_I$	$10E_I$
$\mathrm{Kr}^{4+}$	4.89[-13]	5.70[-10]	2.56[-9]	5.06[-9]	8.70[-9]	1.71[-8]	2.93[-8]
$\mathrm{Rb}^{5+}$	3.27[-13]	3.87[-10]	1.81[-9]	3.66[-9]	6.36[-9]	1.27[-8]	2.14[-8]
$\mathrm{Sr}^{6+}$	2.51[-13]	2.96[-10]	1.41[-9]	2.87[-9]	5.02[-9]	9.95[-9]	1.66[-8]
$Y^{7+}$	1.50[-13]	2.15[-10]	1.09[-9]	2.28[-9]	4.03[-9]	8.00[-9]	1.33[-8]
$\mathrm{Zr}^{8+}$	1.19[-13]	1.84[-10]	9.49[-10]	1.98[-9]	3.48[-9]	6.80[-9]	1.10[-8]
$\rm Nb^{9+}$	9.67[-14]	1.60[-10]	8.21[-10]	1.70[-9]	2.95[-9]	5.71[-9]	9.13[-9]
$\mathrm{Mo}^{10+}$	7.00[-14]	1.38[-10]	7.26[-10]	1.51[-9]	2.62[-9]	4.99[-9]	7.85[-9]
$\mathrm{Tc}^{11+}$	7.04[-14]	1.32[-10]	6.73[-10]	1.37[-9]	2.35[-9]	4.39[-9]	6.76[-9]
$\mathrm{Ru}^{12+}$	5.68[-14]	1.20[-10]	6.10[-10]	1.23[-9]	2.09[-9]	3.88[-9]	5.87[-9]
$\mathrm{Rh}^{13+}$	4.82[-14]	1.11[-10]	5.55[-10]	1.11[-9]	1.88[-9]	3.43[-9]	5.11[-9]
$Pd^{14+}$	4.70[-14]	1.06[-10]	5.18[-10]	1.03[-9]	1.71[-9]	3.08[-9]	4.52[-9]
$Ag^{15+}$	4.87[-14]	1.01[-10]	4.84[-10]	9.49[-10]	1.57[-9]	2.77[-9]	4.02[-9]
$\mathrm{Cd}^{16+}$	5.09[-14]	9.72[-11]	4.55[-10]	8.78[-10]	1.44[-9]	2.51[-9]	3.60[-9]
$\ln^{17+}$	5.24[-14]	9.18[-11]	4.20[-10]	8.05[-10]	1.30[-9]	2.25[-9]	3.20[-9]
$\operatorname{Sn}^{18+}$	5.43[-14]	8.80[-11]	3.94[-10]	7.47[-10]	1.20[-9]	2.05[-9]	2.88[-9]
$\mathrm{Sb}^{19+}$	5.50[-14]	8.33[-11]	3.66[-10]	6.87[-10]	1.10[-9]	1.86[-9]	2.59[-9]
$Te^{20+}$	5.67[-14]	7.94[-11]	3.42[-10]	6.36[-10]	1.01[-9]	1.70[-9]	2.34[-9]
$I^{21+}$	5.78[-14]	7.53[-11]	3.19[-10]	5.87[-10]	9.25[-10]	1.55[-9]	2.12[-9]
$Xe^{22+}$	5.86[-14]	7.13[-11]	2.97[-10]	5.44[-10]	8.51[-10]	1.42[-9]	1.93[-9]
$Cs^{23+}$	5.62[-14]	6.64[-11]	2.74[-10]	5.00[-10]	7.79[-10]	1.29[-9]	1.75[-9]
$Ba^{24+}$	5.70[-14]	6.29[-11]	2.55[-10]	4.61[-10]	7.16[-10]	1.18[-9]	1.60[-9]
$La^{25+}$	5.66[-14]	5.89[-11]	2.37[-10]	4.27[-10]	6.61[-10]	9.52[-10]	1.46[-9]
$Ce^{20+}$	6.32[-14]	5.63[-11]	2.23[-10]	3.98[-10]	6.13[-10]	9.99[-10]	1.34[-9]
$Pr^{2++}$	5.67[-14]	5.33[-11]	2.08[-10]	3.70[-10]	5.66[-10]	9.15[-10]	1.22[-9]
$Nd^{20+}$	5.70[-14]	5.01[-11]	1.93[-10]	3.42[-10]	5.22[-10]	8.42[-10]	1.12[-9]
$Pm^{20+}$	3.93[-14]	3.94[-11]	1.57[-10]	2.82[-10]	4.37[-10]	7.22[-10]	9.92[-10]
5m $E^{-31+}$	3.05[-14]	3.30[-11]	1.34[-10] 1.92[_10]	2.43[-10]	3.79[-10] 2.49[-10]	0.34[-10]	8.81[-10] 9.11[_10]
$Cd^{32+}$	2.98[-14] 2.02[-14]	3.08[-11]	1.23[-10] 1.14[-10]	2.23[-10] 2.07[-10]	3.48[-10] 2.21[-10]	5.81[-10] 5.29[ 10]	5.11[-10] 7.42[-10]
Gu ть <sup>33+</sup>	2.93[-14] 2.01[14]	2.00[-11] 2.71[-11]	1.14[-10] 1.07[-10]	2.07[-10] 1.02[_10]	3.21[-10] 2.07[-10]	3.36[-10]	6.88[ 10]
$Dr^{34+}$	2.91[-14] 2.48[-14]	2.71[-11] 2.97[-11]	1.07[-10] 8.08[-11]	1.92[-10] 1.69[-10]	2.97[-10] 2.52[-10]	4.90[-10] 4.92[-10]	5.00[-10]
$H_0^{35+}$	2.40[14] 2.30[-14]	2.27[11] 2.10[-11]	8.96[-11]	1.02[10] 1.40[-10]	2.02[10] 2.32[-10]	3.80[-10]	5.50[10] 5.43[-10]
$Er^{36+}$	2.03[-14] 2.03[-14]	2.10[11] 1 8/[-11]	7.35[-11]	1.45[10] 1.34[-10]	2.02[10] 2.10[-10]	3.65[-10] 3.56[-10]	5.40[-10] 5.04[-10]
$Tm^{37+}$	1.71[-14]	1.64[11] 1.59[-11]	6.42[-11]	1.54[10] 1.17[-10]	1.85[-10]	3.14[-10]	4.48[-10]
$Yh^{38+}$	1.71[11] 1.78[-14]	1.50[-11] 1.53[-11]	4 44[-11]	1.10[-10]	1.00[10] 1.73[-10]	2.94[-10]	4.17[-10]
$Lu^{39+}$	1.67[-14]	1.27[-11]	5.61[-11]	1.02[-10]	1.10[-10]	2.72[-10]	3.87[-10]
$Hf^{40+}$	1.61[-14]	1.33[-11]	5.23[-11]	9.49[-11]	1.49[-10]	2.53[-10]	3.60[-10]
$\mathrm{Ta}^{41+}$	2.18[-15]	8.61[-12]	3.65[-11]	6.87[-11]	1.11[-10]	1.96[-10]	2.87[-10]
$W^{42+}$	7.74[-15]	8.18[-12]	3.45[-11]	6.45[-11]	1.04[-10]	1.83[-10]	2.69[-10]
$\operatorname{Re}^{43+}$	7.66[-15]	7.81[-12]	3.27[-11]	6.11[-11]	9.84[-11]	1.73[-10]	2.52[-10]
$Os^{44+}$	3.09[-15]	5.96[-12]	2.80[-11]	5.48[-11]	9.15[-11]	1.69[-10]	2.26[-10]
$\mathrm{Ir}^{45+}$	2.88[-15]	5.09[-12]	2.33[-11]	4.53[-11]	7.54[-11]	1.39[-10]	2.12[-10]
$\mathrm{Pt}^{46+}$	2.86[-15]	4.94[-12]	2.25[-11]	4.37[-11]	7.25[-11]	1.33[-10]	2.02[-10]
$\mathrm{Au}^{47+}$	2.72[-15]	4.60[-12]	2.09[-11]	4.16[-11]	6.72[-11]	1.23[-10]	1.89[-10]
$\mathrm{Hg}^{48+}$	2.64[-15]	4.36[-12]	1.97[-11]	3.82[-11]	6.34[-11]	1.16[-10]	1.78[-10]
$\mathrm{Tl}^{49+}$	2.58[-15]	4.13[-12]	1.28[-11]	3.58[-11]	5.94[-11]	1.09[-10]	1.67[-10]

Table	3	Continued

Table 5. Continued.									
Ion	$kT_e = 0.1E_I$	$0.3E_I$	$0.5E_I$	$0.7E_I$	$E_I$	$2E_I$	$10E_I$		
$\mathrm{Pb}^{50+}$	2.51[-15]	3.90[-12]	1.75[-11]	3.38[-11]	5.60[-11]	1.03[-10]	1.57[-10]		
$\operatorname{Bi}^{51+}$	2.49[-15]	3.74[-12]	1.67[-11]	3.18[-11]	5.31[-11]	9.75[-11]	1.50[-10]		
$\mathrm{Po}^{52+}$	2.44[-15]	3.56[-12]	1.58[-11]	3.03[-11]	5.02[-11]	9.18[-11]	1.41[-10]		
$At^{53+}$	2.40[-15]	3.40[-12]	1.50[-11]	2.88[-11]	4.75[-11]	8.71[-11]	1.33[-10]		
$\mathrm{Rn}^{54+}$	2.32[-15]	3.21[-12]	1.41[-11]	2.71[-11]	4.47[-11]	8.19[-11]	1.26[-10]		
$\mathrm{Fr}^{55+}$	2.27[-15]	3.04[-12]	1.33[-11]	2.56[-11]	4.22[-11]	7.75[-11]	1.19[-10]		
$\operatorname{Ra}^{56+}$	2.23[-15]	2.93[-12]	1.28[-11]	2.45[-11]	4.03[-11]	7.38[-11]	1.14[-10]		
$\mathrm{Ac}^{57+}$	2.21[-15]	2.80[-12]	1.22[-11]	2.32[-11]	3.82[-11]	7.00[-11]	1.08[-10]		
$\mathrm{Th}^{58+}$	2.16[-15]	2.68[-12]	1.16[-11]	2.21[-11]	3.65[-11]	6.68[-11]	1.03[-10]		
$\mathrm{Pa}^{59+}$	2.06[-15]	2.51[-12]	1.09[-11]	2.08[-11]	3.43[-11]	6.29[-11]	9.72[-11]		
$\mathbf{U}^{60+}$	2.01[-15]	2.38[-12]	1.03[-11]	1.95[-11]	3.22[-11]	5.91[-11]	9.15[-11]		



Fig. 4. Ionization enhancement factor due to EA at three electron temperature  $kT_e = 0.1E_I, 0.5E_I$  and  $E_I$  as a function of the atomic number Z, along the GeI isoelectronic sequence.

the calculated enhancement factors  $R^{EA}$  are 4.2, 5.5 and 5.8 respectively (at  $kT_e = 0.5E_I$ ), in contrast to the previous mentioned usual trend. This is due here to the fact that the 3d - 4l inner-shell excitations do not contribute to EA processes in Sm<sup>33+</sup> and Sm<sup>32+</sup> (since the excited configurations are below the ionization potential), but give the most important contribution to EA in Sm<sup>30+</sup>.

# **5** Conclusion

In the present work, detailed DW calculations for EA processes along the GeI isoelectronic sequence have been performed. The computed ionization cross-sections are presented for five ions for energies near the ionization threshold. The total ionization rate coefficients for all the

ions from Kr<sup>4+</sup> to U<sup>60+</sup> in the ground level have been calculated. The calculations include the direct ionization obtained from the Lotz formula and the *EA* contributions for 4s - nl (n = 4 to 8), 3d - nl (n = 4 to 8) and 3p - nl (n = 4 and 5) inner-shell excitations calculated with the *HULLAC* code in the framework of the *DW* approximation. These calculations show the very important role of the *EA* channels, even for isoelectronic sequences with several electrons in the outer shell.

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